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PTO/SB/05 (11-00)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PH01-01-13
First Inventor	Murali Krishnaswamy
Title	WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH...
Express Mail Label No.	ET937500230US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

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| <p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for file processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages] 11
<small>(Detailed description set forth below)</small>
 - Descriptive title of the invention
 Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if any)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets] 2</p> <p>5. Oath or Declaration [Total Pages] 1
 <input type="checkbox"/> Newly executed (original or copy)
 <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
 <small>For continuations/divisional with Box 18 completed:</small>
 <input type="checkbox"/> DELETION OF INVENTOR(S):
 <small>Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.33(a)(2) and 1.33(d).</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:
 <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
 <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
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ACCOMPANYING APPLICATION PARTS

- | |
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| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
(when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
<small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<small>(If foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent</p> <p>17. <input type="checkbox"/> Other:</p> |
|--|

If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Last, First, Middle Initial) *Stephen R. Mayer* Registration No. (Attorney/Agent) 35,277
Date *12/31/01*

Signature *Stephen R. Mayer*

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10/038880

12/31/01

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Murali Krishnaswamy et al.

Docket No.

PH01-01-03

Serial No.
UnassignedFiling Date
Filed HerewithExaminer
UnassignedGroup Art Unit
UnassignedInvention: **WAVELENGTH AND PATH ASSIGNMENT IN WAVELENGTH DIVISION MULTIPLEXED RING NETWORKS**

I hereby certify that the following correspondence:

New U.S. Patent Application*(Identify type of correspondence)*

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